

Singlet Oxygenation of *cis* and *trans*-1,4-polyisoprene and *cis*-2,6-dimethylocta-2,6-diene

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Mono- and bis-hydroperoxides have been isolated from the reaction between singlet oxygen and 2,6-dimethyl-2,6-octadiene and their ¹H NMR spectral parameters are given. This information is used to examine both new and previously published ¹H and ¹³C NMR data for the products of the reactions between singlet oxygen and both natural rubber and Gutta Percha. The relevance of these results to chain mobility in polyisoprenes is discussed.

2,6-dimethylocta-2,6-diene (DMOD), despite certain shortcomings, has proved a popular model for investigations on the reactions of 1,4-polyisoprenes. This popularity derives, in part, from the ready synthesis of the two geometrical isomers¹ and the comparatively simple spectroscopic characterisation which the products of their reactions might afford.

Interest in the singlet oxygenation of natural rubber (NR) and other polymers and suitable polymer-models was largely prompted by the possible role of this process in light-induced surface deterioration of polymeric materials². The facility with which the oxygen scavenging nature of this reaction occurs resulted in a suggested design for an NR-based packaging material suitable for oxygen-sensitive commodities³.

Although the detailed chemistry of this reaction is subtly different from that expected in the autoxidation of NR, such studies provide very suitable reference data for assessing the generation, or otherwise, of NR-bound allylic hydroperoxide groups during the latter process. Considering the available literature on the reactions of NR

and NR-specific models with singlet oxygen, we recognised the need for a re-investigation of these reactions. Accordingly, we report herein our results on studies of the reactions of singlet oxygen with NR (and the synthetic *cis*-1,4-polyisoprene, Cariflex-IR-305), *Gutta Percha* and *cis*-DMOD. *Cis*-DMOD being a methyl-terminated diene, permits relatively simple regio-chemical product assignments, and the NMR data for its adducts with singlet oxygen may be compared with those of both the hydroperoxidised polyisoprenes and mono-ene models which are discussed below.

EXPERIMENTAL

Materials and Methods

Cis-DMOD (1: prepared according to the method of Greenlee and Wiley¹) was presented by Dr A.V. Chapman of the MRPRA laboratories. Other materials were commercially available and were used as received: particular attention was paid to the use of HPLC-grade solvents for chromatographic procedures.

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Thin-layer chromatography was performed using aluminium-backed, silica gel-coated plates (E. Merck, Darmstadt, Art. No. 5554). Peroxidic spots were located using ADADH (p-dimethylammonium-aniline dihydrochloride, Fluka)^{4,5} spray, once the developed plate had been examined under short-wavelength ultra-violet illumination. More generally, phosphomolybdic acid and acidified vanillin sprays were used for spot location.

Flash chromatography was carried out using 230–400 mesh silica gel (Merck, Grade 60). In preliminary experiments, samples were separated by combinations of Normal Phase (Prep-Pak 500 Silica; 10% v/v diethyl ether in hexane) and Reverse Phase (Partisil 10 ODS 2, 45% v/v acetonitrile in water at 50°C) Medium and High Performance-Liquid Chromatography (a Walters Prep 500 and a locally configured instrument respectively).

¹H and ¹³C NMR spectra were recorded using a General Electric QE-300 spectrometer located in the MRPRA laboratories and a Varian VXR-400 Spectrometer located in the Chemistry Department, University College London. Elemental analyses were performed using a Perkin Elmer PE240 instrument by Mr J.E. Davey in the MRPRA laboratories.

Procedures

Cis-DMOD singlet oxygenation. In preliminary experiments, solutions of the diene (typically *ca* 0.4M) in dichloromethane (DCM) or 5% vol. methanol in tetrachloromethane with added methylene blue as sensitiser were irradiated using an external array of 4 × 100 watt tungsten filament light bulbs. At appropriate times, the reaction solutions were concentrated by rotary evaporation and, guided by the results of TLC examination, separations were effected by combinations of Medium and High Performance-Liquid Chromatography.

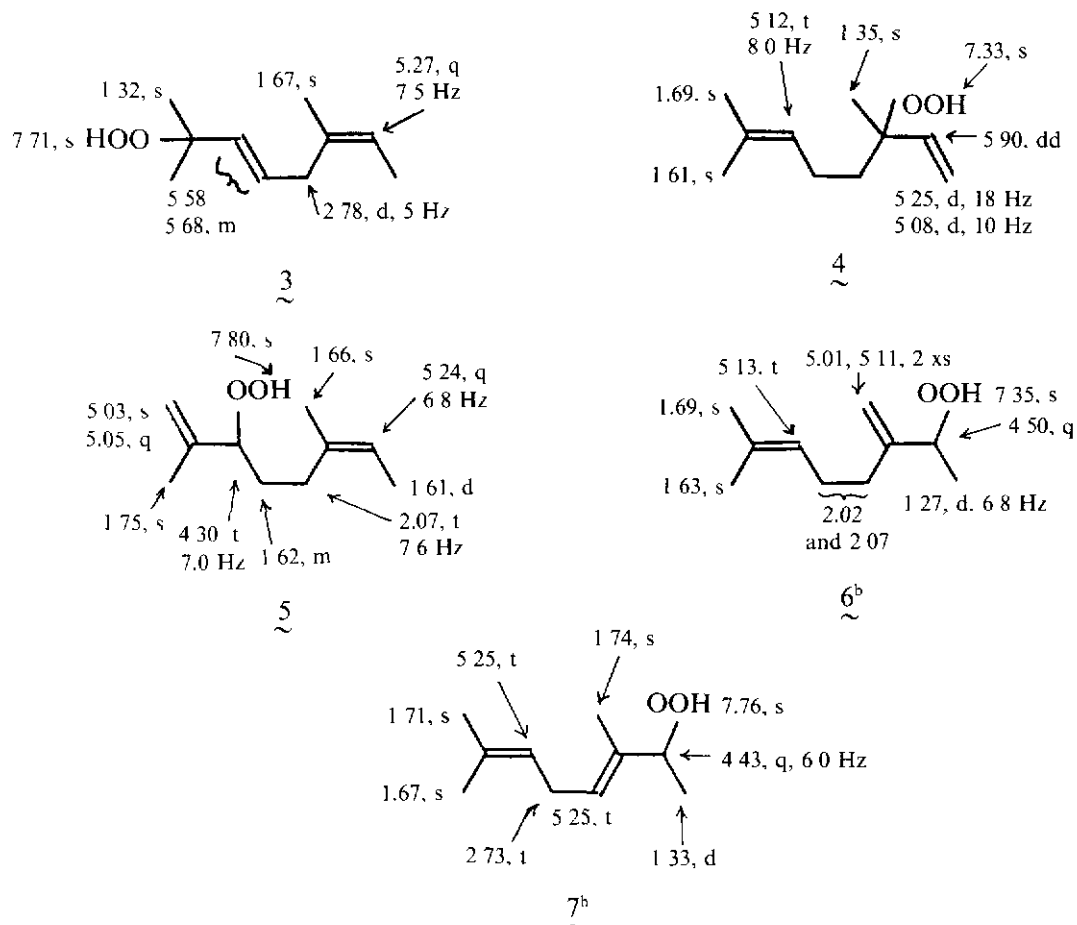
The larger part of the work reported here refers to material obtained in the following way. A solution containing *cis*-DMOD (3.17 g, 23 mmol), BHT (0.25 g, 1.1 mmol, Aldrich Chemical Company) and TPP (30 mg, 0.05 mmol, 99+ % Aldrich) in dichloromethane (200 cm³) was charged into an immersion-well photo-reactor (Pyrex glass), attached to an oxygen-filled water-adjusted gas burette. The solution, magnetically stirred at room temperature, was irradiated with the output from a 400W Thorn, high-pressure SON-T sodium lamp. The light was filtered through a running cold-water jacket placed between the lamp and the reaction solution and was reflected back onto the solution using an aluminium foil screen. Oxygen uptake was extremely rapid. Within 30 min, slightly less than 1M equivalent of oxygen had been absorbed to give an olive-green solution, which, upon rotary-evaporation (bath at room temperature; 25 mm Hg aspiration pressure) left a fairly viscous, red residue (6.90 g). A portion (1 cm³, *ca* 1.5 g) of this residue was loaded onto a silica-gel filled flash-chromatography column (17 g, slurry packed with dichloromethane). This material was eluted using, initially, dichloromethane (200 cm³) then stepped portions (100 cm³) of methanol (1% vol. then 2% vol.) in dichloromethane. Fractions of the eluate (each 25 cm³) were examined by TLC. Fractions 3 and 4, when combined and concentrated gave a reddish liquid (134 mg) containing the mono-hydroperoxides, while Fractions 9–19 contained the bis-hydroperoxides as a green gum (676 mg). The contaminants in each material (judged by ¹H NMR spectroscopy to be TPP and BHT respectively) were removed by further chromatography of each material over a similar quantity of silica gel using dichloromethane eluant for the mono-hydroperoxides and, after an initial dichloromethane elution (100 cm³), 2% vol. methanol in dichloromethane for the bis-hydroperoxides.

The mono-hydroperoxides (comprising three over-lapping spots, *R_f* (DCM) 0.36,

0.29 and 0.23) were collected, concentrated by rotary evaporation and finally dried by evacuation (room temperature, 0.05 mm Hg, 1 h) before prompt elemental analysis of the resultant slightly brown oil (106 mg). The data obtained corresponded to that expected for a mixture of DMOD-derived monohydroperoxides ($C_{\text{calc}} = 70.55\%$, $C_{\text{found}} = 71.0\%$; $H_{\text{calc}} = 10.66$, $H_{\text{found}} = 10.5\%$), while ^1H NMR spectroscopy showed this material to contain the compounds listed in Table 1. ^1H NMR data for reference compounds are given in Table 2.

The bis-hydroperoxides (showed by TLC to be somewhat unstable, despite expeditious handling) were collected in two portions. The less polar portion (gum, 197 mg, mainly R_f (DCM) = 0.15) and the more polar material (gum, 308 mg, R_f (DCM) = 0.13 – 0.15) were concentrated, freed from solvent traces and promptly analysed for elemental composition. For DMOD-derived bis-hydroperoxides calculated elemental values are $C = 59.38\%$ and $H = 8.97\%$. For the less polar portion, values of 59.5% and 9.0% respectively

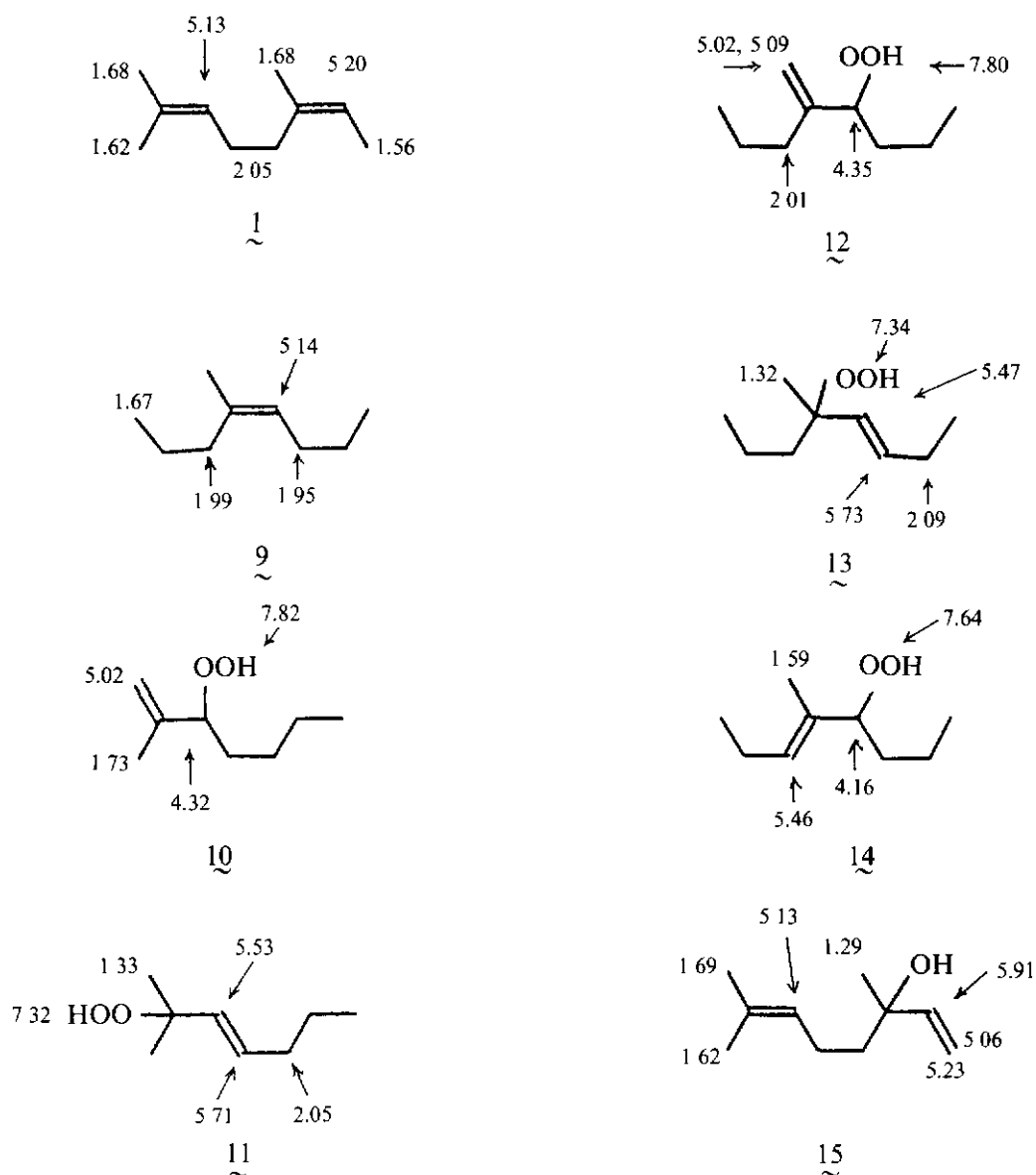
TABLE 1 ^1H NMR DATA FOR SOME SINGLET OXYGEN-DERIVED DMOD MONO-HYDROPEROXIDES^a



^aChemical shifts quoted for deuteriochloroform solutions (δ ; p.p.m., TMS reference)

^bTentative assignments for minor components

TABLE 2. ^1H NMR DATA FOR REFERENCE COMPOUNDS^{a b}



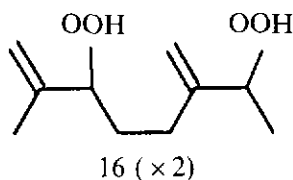
^aChemical shifts quoted for deuteriochloroform solutions (δ ; p.p.m.; TMS reference)

^bCompounds $\sim$ (Reference 6); $\sim$, $\sim$, $\sim$ (Reference 7); $\sim$, $\sim$ (Reference 8); $\sim$ (Commercial)

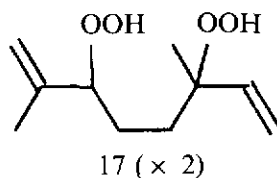
were found. For the more polar material, corresponding values of 60.8% and 9.3% were obtained. The ^1H NMR data for these

materials are given in *Table 3*. The spectrum for the more polar portion contained significant absorptions (particularly in the

TABLE 3 ^1H NMR DATA FOR SOME SINGLET OXYGEN-DERIVED DMOD
BIS-HYDROPEROXIDES^a



$4 \times \text{OOH}$	in range 8.1 – 8.7
$2 \times \text{C} = \underline{\text{CH}}_2$	one isomer 5.13 and 5.01 [2 × broad singlet (br s)] one isomer 5.01 (br s)
$\underline{\text{CH}}(\text{OOH})$	one isomer 4.52 multiplet (m) one isomer 4.40 (m)
Allylic $\underline{\text{CH}}_2$	2.10 – 2.20
$\underline{\text{CH}}_3 - \text{C} = \text{C}$	1.76, s
Homoallylic $\underline{\text{CH}}_2$	1.5 – 1.7
$\underline{\text{CH}}_3 \text{CH}(\text{OOH})$	1.27 (one isomer), 1.26 (one isomer) [2 × doublet (d), J = 7.0 Hz]



$4 \times \text{OOH}$	in range 8.1 – 8.6
$\underline{\text{CH}} = \underline{\text{CH}}_2$	5.88 (major isomer), 5.89 (minor isomer) each doublet of doublet (dd), J = 10.5 and 18 Hz 5.22 (d, J = 10.5 Hz) and 5.24 (3, J = 18 Hz) both isomers superimposed
$\text{C} = \underline{\text{CH}}_2$	5.01 (both isomers broad singlet)
$\underline{\text{CH}}(\text{OOH})$	4.32 (both isomers, distorted triplet, J ~ 6.5 Hz)
$\underline{\text{CH}}_3 - \text{C} = \text{C}$	1.73 (both isomers, s)
$\underline{\text{CH}}_3 - \text{C}(\text{OOH})$	1.31 (both isomers, s)
$2 \times \underline{\text{CH}}_2$	1.5 – 1.7 and 1.2 – 1.3 (both isomers, multiplets)

^aChemical shifts quoted for deuteriochloroform solutions in units of parts per million (δ) from internal TMS

$\delta 3.5 - 3.8$ region) which could not satisfactorily be ascribed to the spectra of the predicted bis-hydroperoxides.

Polymer singlet oxygenation. Hydroperoxidised NR was prepared by dissolving DPNR (deproteinised NR 3 g) in dichloromethane (300 cm^3) which contained methylene blue (15 mg). After saturation with oxygen, and maintaining a flow of oxygen through the solution, the sample was illuminated using a 400W Thorn high-pressure SON-T sodium lamp. Withdrawn samples were monitored for hydroperoxide and carbonyl production, and after 45 min, the modified rubber was recovered by precipitation from a large excess of ethanol. Drying by evacuation at room

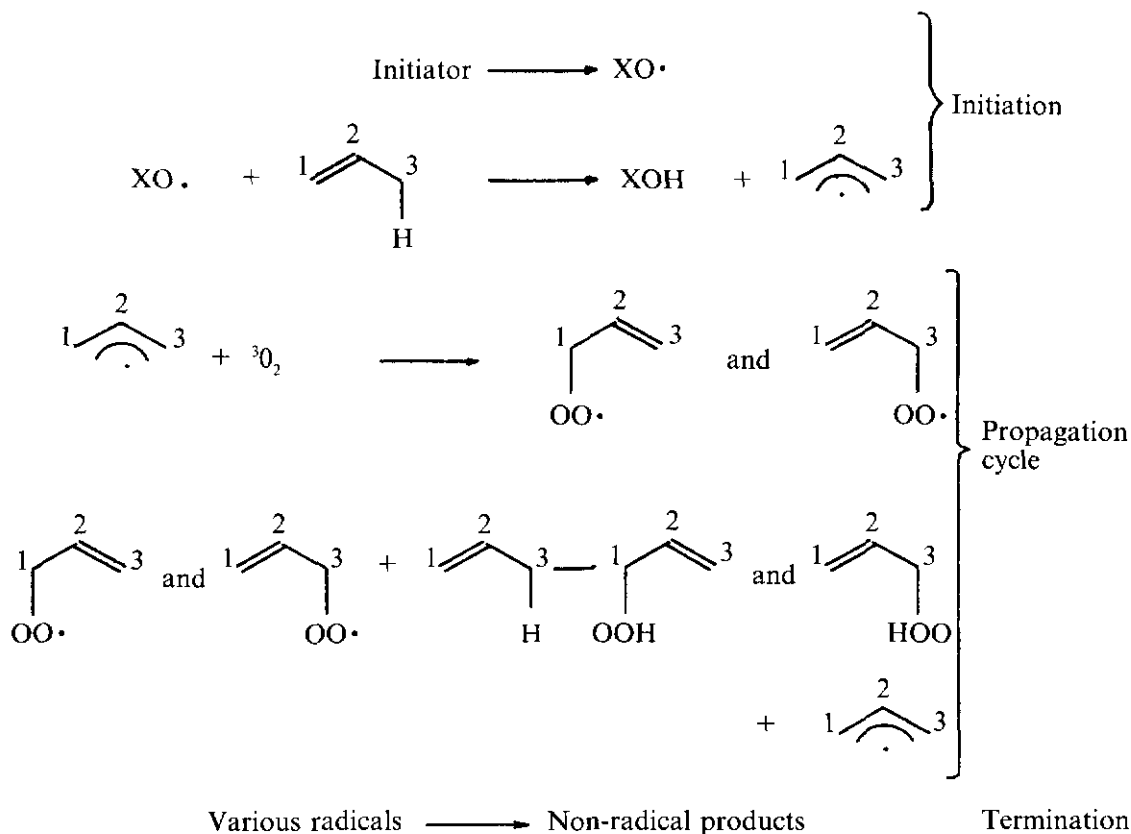
temperature was followed by prompt spectroscopic analysis.

Singlet oxygenated IR was prepared in the same way, using commercial Cariflex IR-305.

Gutta Percha was purified by dissolution in warm petroleum ether (bp $60^\circ\text{C} - 80^\circ\text{C}$) followed by filtration through lens tissue into cold methanol. The resultant, almost white material was found to be readily soluble in dichloromethane and was hydroperoxidised as described above for DPNR.

RESULTS AND DISCUSSION

A simplified free-radical initiated alkene autoxidation scheme is presented below (*Scheme 1*).



Scheme 1

Simplified free radical-mediated, alkene autoxidation reaction scheme.

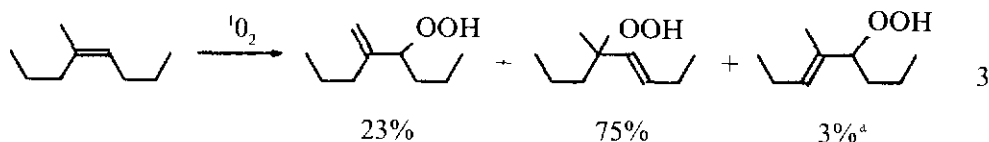
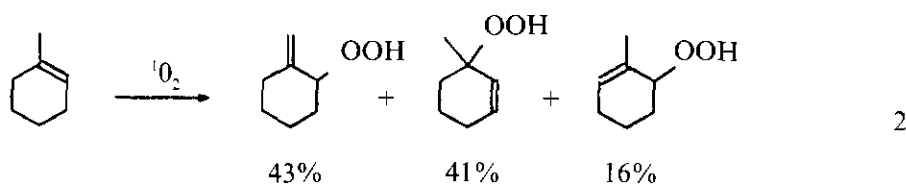
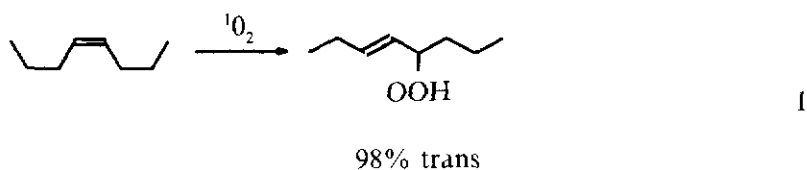
Unlike the free-radical process, where even a symmetrically substituted alkene will usually give a mixture of allylic hydroperoxides, the reactions of singlet oxygen ($^1\text{O}_2$) with alkenes (an ene reaction in which an intra-molecular double-bond shift occurs⁹) can provide simpler products. Some examples are given in *Equations 1*¹⁰ *2*¹¹ and *3*⁷

Even though the singlet oxygen reaction offers this simplification for the preparation of DMOD mono-hydroperoxides, seven such isomers can be expected for each DMOD isomer. For *cis*-DMOD (1), the possibilities are outlined in *Scheme 2*

Despite the number of potential products, recent advances in separational and spectroscopic techniques place us in a position to characterise the products of this reaction

and compare their spectral properties with the products of singlet oxygen-modified NR, Cariflex IR-305 (IR) and *Gutta Percha*

In considering the target reaction (*Scheme 2*), it was anticipated that, at synthetically useful conversions, the onset of initial product conversion to more highly substituted co-products would become important. All of the postulated DMOD mono-hydroperoxides 2–8 contain at least one tri-substituted double bond and each is anticipated to be about as reactive towards a singlet oxygen molecule as the double bonds in substrate 1. A complex mixture was therefore expected to result. Taking diastereoisomers into account, attack at the unmodified double bonds in 2–7 would result in the formation of twenty-one bis-hydroperoxides and, with attack at the already modified double bond, a further seventeen possible bis-hydroperoxides



^aIsolated as other products

Possible mono-hydroperoxides from the singlet oxygenation of *cis*-DMOD

Thus, limited photo-oxygenation of *DMOD* gave a mixture which could initially be separated by Flash Chromatography¹² into two fractions of different polarities. The first fraction had thin-layer chromatographic polarity typical of mono-hydroperoxides and thus were considered to be a mixture of *DMOD*-derived mono-hydroperoxides this was shown to be the case by

Our structural assignments for these mono-hydroperoxides (*Table 1*) are based on the predicted spectroscopic properties of structures 2–8 and spectroscopic data which we have collected (*Table 2*) for compounds, either commercially available or prepared during the course of related studies.

The more polar materials were collected in two further fractions. The first portion gave a combustion analysis consistent with

the presence of only bis-hydroperoxides and ^1H NMR analysis indicated that two pairs of diastereoisomers were present (16×2 and 17×2 ; Table 3).

Combustion analysis of the second portion was not consistent with the sole presence of bis-hydroperoxides (high values for both carbon and hydrogen were found) and ^1H NMR spectroscopic analysis suggested the presence perhaps of other bis-hydroperoxides, along with other, unidentified products.

Tanielian and Chaineaux¹³ previously reported a study of the singlet oxygenation of *trans*-DMOD and (apparently) a mixture of the *cis*- and *trans*-isomer. Faced with the product complexity, they resorted to analysis of the whole product after reduction of the peroxides, followed by trimethylsilylation of the resultant alcohols. Assignment of isomers was based solely on the mass-spectral fragmentation patterns of the gas chromatographically-separated trimethylsilyl ethers. They claimed that, as the photo-oxygenation progressed, the initially-formed mono-hydroperoxides were converted, at about half the rate of the starting diene, into bis-hydroperoxides; and that, of the two dienes, the *trans*-isomer was slightly more reactive than the *cis*-isomer.

In view of the complication of competitive subsequent conversion of the first-formed mono-hydroperoxides, it is difficult for us to comment in detail on the isomeric distribution of the isolated mono-hydroperoxide mixture. Suffice to say that from our NMR analysis, the *tertiary* and *exo*-methylene isomers were preponderant. This is commensurate with isomer ratios found during the singlet oxygenations of many tri-substituted alkenes^{14,15} and with the probable origins of the bis-hydroperoxides identified in our reaction (Table 3).

The singlet oxygenation of 1,4-polyisoprenes has been extensively studied and the principal findings have been reviewed

by Golub¹⁶, but the reviewed data was obtained before high field NMR spectrometers were widely available. We can now present detailed ^1H and ^{13}C NMR for singlet oxygenated NR (*cis*-1,4-polyisoprene) and *Gutta Percha* (*trans*-1,4-polyisoprene), prepared at low conversions, using the optimum photo-sensitiser (tetraphenylporphin: TPP) in the presence of a protective antioxidant (2,6-di-*t*-butyl-4-methylphenol: BHT)*: Tables 4, 5 and 6.

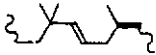
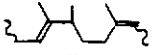
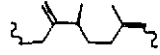
The micro-structural quantitations given in Table 4 rely on 'trace and weigh' analysis of a comprehensive selection of the ^1H NMR absorptions shown in Table 5 such that self-consistent sets of relative ratios were obtained. As an indication of the quality of spectra available by this technique, selected portions of the ^1H NMR spectrum of a NR singlet oxygenation product are reproduced in Figure 1 (data for the vinylic methylene signals were best obtained from spectra of IR-derived material: the lower molecular weight of this polymer results in a narrower overlapping vinylic proton signal for the unmodified polymer segments).

The micro-structural ratios (I–III) for both NR and *Gutta Percha* reported here are markedly different from those that have been given by previous workers. For *Gutta Percha* we are quite confident that our findings both of a near equality of micro-structures I and III and the fact that micro-structure II is not detectably formed are correct on the basis of published data by other workers for model *trans*-trisubstituted alkenes and for our own unpublished data for comparable systems: for NR, the micro-structural ratio I:III of 3.5:1 is the same as that which we find for the singlet oxygenation of *cis*-4-methyl-4-octene⁷, although for other systems¹⁴ containing more highly branched alkyl groups, this ratio approaches 8:1.

The proportion of products having micro-structure II is expected to vary with the

*Workers should be aware that BHT can act as a competitive substrate for singlet oxygen and the mono-hydroperoxide derived therefore can cause difficulties in product isolation⁷

TABLE 4 MICRO-STRUCTURE ISOMER RATIOS AFTER SINGLE OXYGENATION
OF NR AND *GUTTA PERCHA*^a

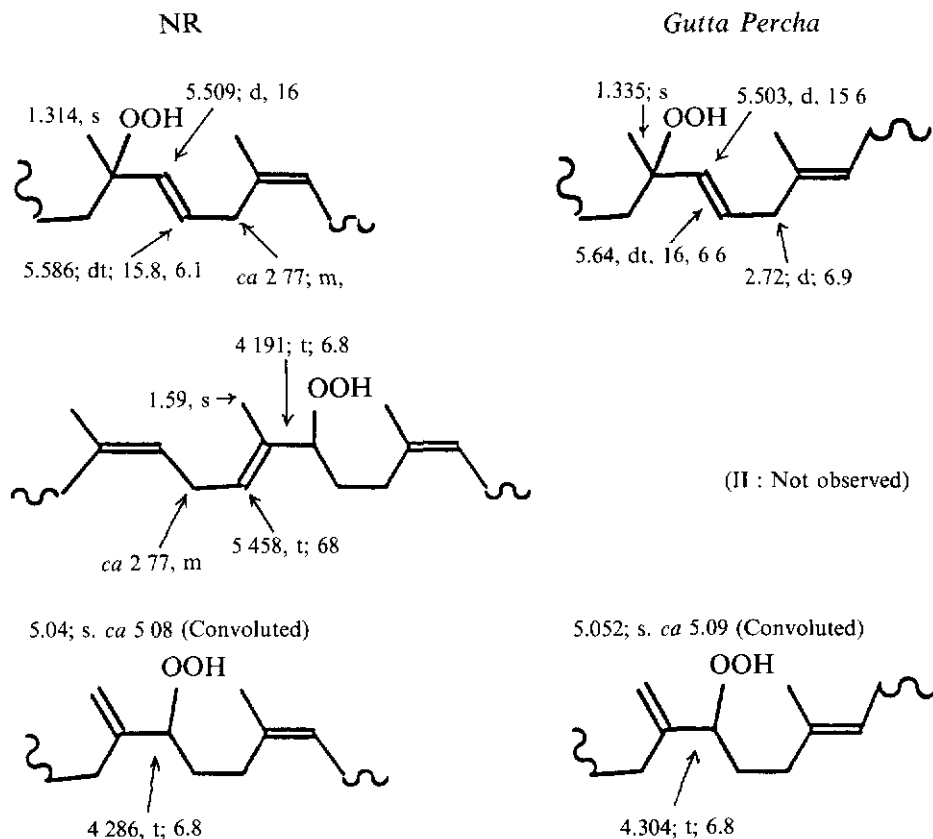
1,4-Polyisoprene configuration	OOH  I	OOH  II	OOH  III	Reference
<i>cis</i>	58.7	21.8	19.5	This work
<i>cis</i> ^b	62.2	21.6	16.2	
<i>cis</i> ^c	64.4	18.5	17.1	
<i>cis</i>	47	47	6	16
<i>trans</i>	51.4		48.6	This work
<i>trans</i>	48	26	26	16

^aAnalysis by quantitative ¹H NMR (400 MHz, CDCl₃; TMS, *ca* 12 mol%) conversions

^bDuplicate preparation

^cAnalysis as allylic alcohols after triphenylphosphine reduction

TABLE 5 CHARACTERISTIC ¹H NMR SIGNALS FOR MICRO-STRUCTURES I-III^a



^aδ (OOH): individual signals at variable shifts 7.2–7.8 in appropriate intensities for micro-structural ratios given in Table 4.

TABLE 6 CHARACTERISTIC ^{13}C NMR SIGNALS FOR MICRO-STRUCTURES I-III

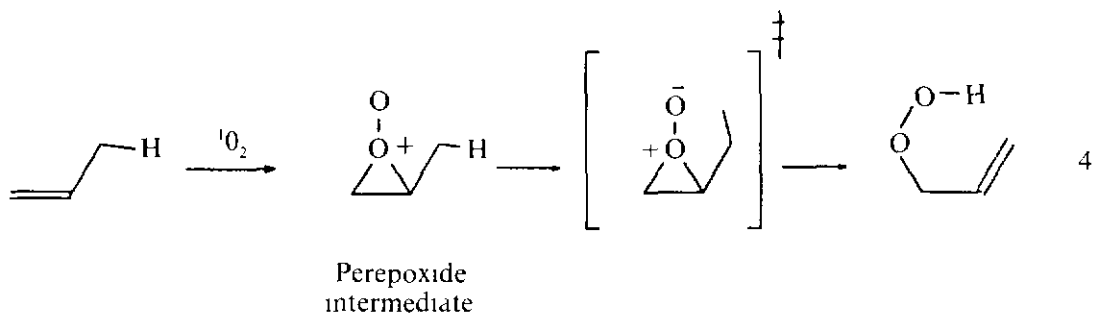
NR		<i>Gutta Percha</i>	NR			NR		<i>Gutta Percha</i>
C1	38 05	34 23	C1	133 49		C1	30 32	29 73
C2	84 31	84 42	C2	134 98		C2	29 45	29 47
C3	133 01	133 26	C3	91 55		C3	147 56	147 44
C4	129 58	130 68	C4	23 26		C4	89 27	89 11
C5	35 14	35 65	C5	Convolved		C5	32 09	30 99
C6	21 55	21 19				C6	Convolved	29 45
						C7	113 00	113 28

extent of reaction but, again taking into account the literature data¹⁴ for comparable systems, a percentage for micro-structure II of about 20% seems not unreasonable. Indeed, the data reported here is consistent with the generalisation that singlet oxygen preferentially reacts by abstraction from the most highly-substituted side of a tri-substituted alkene^{15,17}

This regio-selectivity in alkene singlet oxygenation has been discussed in terms of the ease with which the transferred hydrogen atom may be rotated towards the abstracting oxygen atom in a perepoxide intermediate (Equation 4)

Since the activation energy for the reaction is small, it has been argued that the differences between the rotational barriers present in unsymmetrically substituted alkenes govern the regio-selectivity of the reaction and, although a contrary view has recently been expressed¹⁸, theoretical calculations have been advanced to support this contention¹⁹

Using this argument, the similarity between the micro-structural ratios I:III for the models and the polymers suggests a similarity in the barriers to rotation for both cases in solution. It remains to be seen, however, whether this similarity will also



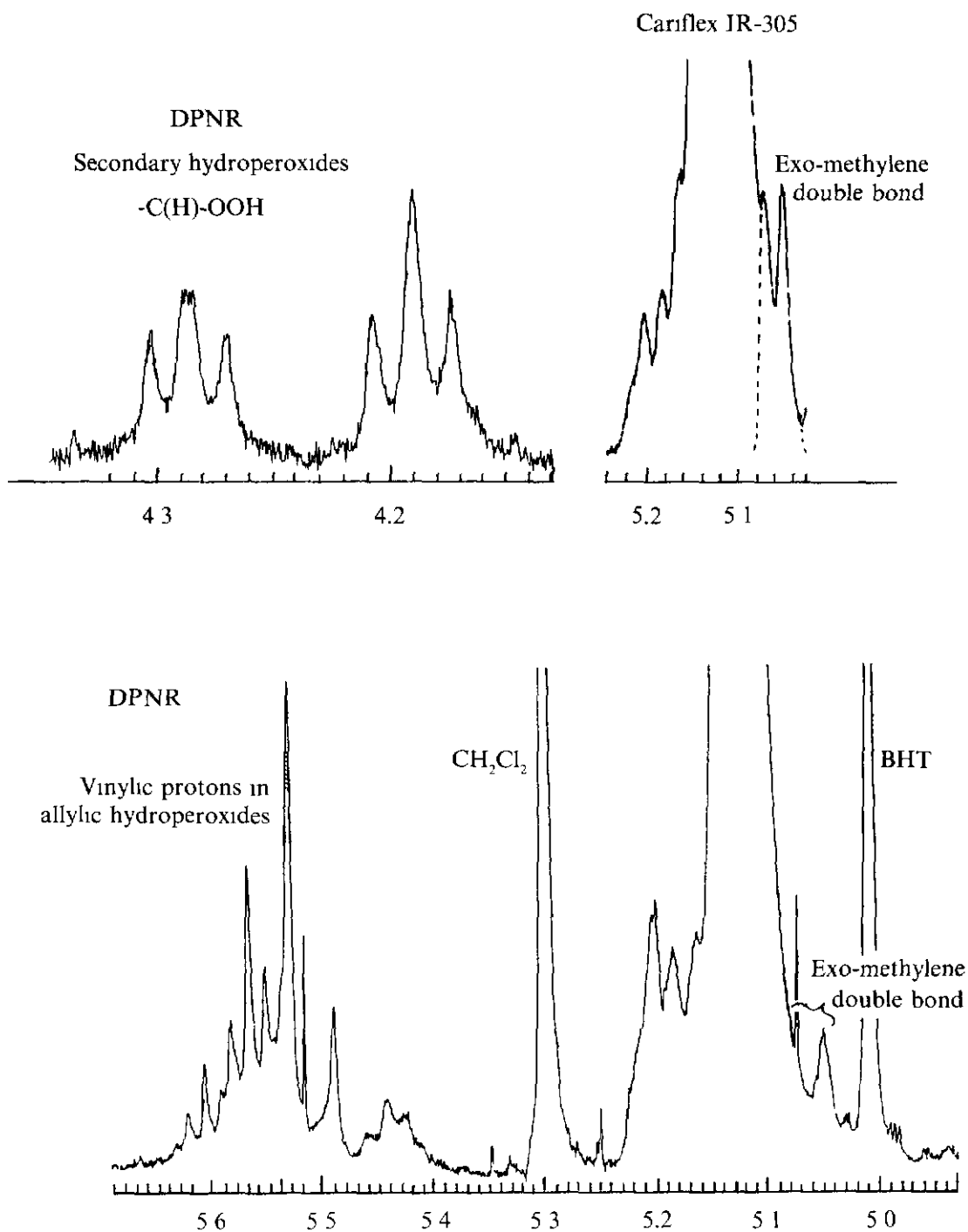


Figure 1 Representative portions of 1H NMR spectra of singles oxygenated *cis*-1,4-polyisoprenes

prove to be the situation for local chain mobility in the bulk polymers.

CONCLUSIONS

The singlet oxygenation of *cis*-DMOD can be carried out to provide isolated mono- and bis-hydroperoxides, NMR data for detailed analysis to be made for the corresponding 1,4-polyisoprene reactions. The similarity between the micro-structural ratios for the models and the polymers in solution suggests a similarity in the bond rotational freedom in both cases and this method of polymer conformational analysis is proposed as an approach for exploring local chain mobility in bulk polymers.

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